

Ref: FOI/GS/ID 8750

Please reply to:
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11 January 2024

Freedom of Information Act 2000

I am writing in response to your request for information made under the Freedom of Information Act 2000 in relation to Serious Incident Reports.

You asked:

Question 1

Please provide me with copies of all serious incident reports (SI), never event investigation reports (NE), patient safety incident investigation (PSII) reports, clinical reviews (CR) and/or route cause analysis investigation reports (RCA) relating to patient fatality incidents completed in 2019, 2020, 2021, 2022 and 2023 relating to incidents in one of the following specified departments:

Acute frailty unit

A&E

Gastroenterology

Haematology

General surgery

Trauma and orthopaedics

And which contain at least one of the following words or phrases of interest:

physician associate

anaesthesia associate

assistant practitioner

physician assistant

anaesthetic assistant

PA

AA

Question 2

Excluding reports on investigations into self-harming, disruptive and/or aggressive behaviour, patient abuse, pressure sores and falls, please provide me with summaries of all serious incident reports, never event investigation reports (NE), patient safety incident investigation (PSII) reports, clinical reviews (CR) and/or route cause analysis investigation reports (RCA)

completed in 2019, 2020, 2021, 2022 and 2023 relating to non-fatal incidents in the above listed specified departments and which contain at least one of the above listed words or phrases of interest.

Please ensure your summaries include the following information:

- a. Date of incident (or date of report) - just state which you have chosen;*
- b. A description of the incident including the job titles of staff involved;*
- c. Problems and root causes identified during investigation (be specific about staffing issues, e.g. if short staffed explain what staff roles were on shift, or if an error occurred state the job titles of the individuals who were involved in this error);*
- d. Actions (be specific, if staff require further training, state which staff, e.g. 'FY2 doctors' or 'physician associates')*

Notes:

Section 40 does not apply to deceased individuals.

You may wish to use optical character recognition to quickly identify reports including the above words/phrases.

You may wish to redact third party sensitive information, but this does not include the job titles of staff at your Trust involved in the incidents.

Trust response:

In order to provide the information requested the Trust would need to review the 700 records identified using the requested criteria. The Trust has estimated that it will cost more than the appropriate limit to consider your request. The appropriate limit is specified in regulations and represents the estimated cost of one person spending 3½ working days in determining whether the Trust holds the information, locating, retrieving and extracting the information. Under Section 12 of the Freedom of Information Act 2000 the Trust is not obliged to comply with your request and we will not be processing your request further.