

Ref: FOI/GS/ID 8711

Please reply to:
FOI Administrator
Trust Management
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22 December 2023

Freedom of Information Act 2000

I am writing in response to your request for information made under the Freedom of Information Act 2000 in relation to clinical incidents.

*You asked: All questions are shown as received by the Trust.
Please provide the following information, preferably in an electronic format, for the period of the last five years. Please note, here “clinical incident” is defined as “An event that causes a loss, injury or a near miss to a patient, staff or others.”*

- 1) The total number of medical doctors employed at your institution, broken down by professional grade (e.g., FY1, FY2, CT1, CT2, SpR, Associate Specialist, Consultant). If you cannot provide this break down, please provide the total for doctors of all grades.*
- 2) The total number of clinical incidents reported involving medical doctors, categorized by each professional grade. If you can not provide this break down, please provide the total for doctors of all grades.*
- 3) The number of Physician Associates (PAs) employed, and the number of clinical incidents reported involving PAs within the specified time frame.*
- 4) The number of Advanced Clinical Practitioners (ACPs) and/or Advanced Nurse Practitioners (ANPs) employed, as well as the number of clinical incidents reported involving each of these roles.*
- 5) The breakdown of reported clinical incidents resulting in no harm, low harm, moderate harm, severe harm, and death for each clinician category mentioned above.*

*The Trust received clarification from you as follows:
I need to make a clarification to the question of my previous email as the wording has caused some confusion. My apologies for this confusion.
The questions as amended are as follows and cover the period of 1st September 2018 to 1st September 2023:*

- 1) The total number of medical doctors employed at your institution, broken down by professional grade (e.g., FY1, FY2, CT1, CT2, SpR, Associate Specialist, Consultant). If you cannot provide this break down, please provide the total for doctors of all grades.
- 2) The total number of clinical incidents reported where after investigation it was found that a medical doctor was responsible for the incident. If possible, please categorized by each professional grade. If you cannot provide this break down, please provide the total for doctors of all grades.
- 3) The number of Physician Associates (PAs) employed at your institution.
- 4) The number of clinical incidents where after investigation it was found that a PA was responsible for the incident within the specified time frame.
- 5) The number of Advanced Clinical Practitioners (ACPs) and/or Advanced Nurse Practitioners (ANPs) employed at your institution.
- 6) The number of clinical incidents where after investigation it was found that an ACP/ANP was responsible for the incident within the specified time frame.
- 7) For questions 2, 4 and 6, the breakdown of reported clinical incidents resulting in no harm, low harm, moderate harm, severe harm, and death for each clinician category mentioned above.

Trust response:

1. Figures correct as at 30 November 2023.

Consultant	370
Associate Specialist (Closed to new entrants)	6
Clinical Assistant (Closed to new entrants)	1
Clinical Director - Medical	1
Foundation Year 1	64
Foundation Year 2	41
General Medical Practitioner	1
Specialist	8
Specialty Doctor	160
Specialty Registrar	312
Staff Grade (Closed to new entrants)	1
Trust Grade Doctor - Specialty Registrar	25

2. The Trust does not record incident data by professional grade, we collate by theme and severity of harm. It is possible that this information may be found in the free text of the reported incident, however, in order to confirm this each incident form would need to be manually checked by a member of staff. The Trust has estimated that it will cost more than the appropriate limit to consider this part of your request. The appropriate limit is specified in regulations and represents the estimated cost of one person spending 3½ working days in determining whether the Trust holds the information, locating, retrieving and extracting the information. Under Section 12 of the Freedom of Information Act 2000 the Trust is not obliged to comply with this part of your request and we will not be processing this part of your request further.

3. Employed PAs total – 14

4. The Trust does not record incident data by professional grade, we collate by theme and severity of harm. It is possible that this information may be found in the free text of the reported incident, however, in order to confirm this

each incident form would need to be manually checked by a member of staff. The Trust has estimated that it will cost more than the appropriate limit to consider this part of your request. The appropriate limit is specified in regulations and represents the estimated cost of one person spending 3½ working days in determining whether the Trust holds the information, locating, retrieving and extracting the information. Under Section 12 of the Freedom of Information Act 2000 the Trust is not obliged to comply with this part of your request and we will not be processing this part of your request further.

5. In September 2023, the Trust had 30 qualified ACPs (including ACP endoscopists), between 40-50 advanced practice trainees, 6 registered MAPs (so Surgical Care Practitioners), 14 PA (so non-registered/regulated MAPs), 11 consultant practitioners, 1 trainee Consultant Radiographer, 2 trainee Anaesthesia Associates.

6. The Trust does not record incident data by professional grade, we collate by theme and severity of harm. It is possible that this information may be found in the free text of the reported incident, however, in order to confirm this each incident form would need to be manually checked by a member of staff. The Trust has estimated that it will cost more than the appropriate limit to consider this part of your request. The appropriate limit is specified in regulations and represents the estimated cost of one person spending 3½ working days in determining whether the Trust holds the information, locating, retrieving and extracting the information. Under Section 12 of the Freedom of Information Act 2000 the Trust is not obliged to comply with this part of your request and we will not be processing this part of your request further.

7. The Trust does not record incident data by professional grade, we collate by theme and severity of harm. It is possible that this information may be found in the free text of the reported incident, however, in order to confirm this each incident form would need to be manually checked by a member of staff. The Trust has estimated that it will cost more than the appropriate limit to consider this part of your request. The appropriate limit is specified in regulations and represents the estimated cost of one person spending 3½ working days in determining whether the Trust holds the information, locating, retrieving and extracting the information. Under Section 12 of the Freedom of Information Act 2000 the Trust is not obliged to comply with this part of your request and we will not be processing this part of your request further.